D. Rorreo

Re-run

FILE COPY

RAW SEQUENCE LISTING ERROR REPORT



The Biotechnology Systems Branch of the Scientific and Technical Information Center (STIC) detected errors when processing the following computer readable form:

Application Serial Number:	<u>09/903,806B</u>
Source:	
Date Processed by STIC:	4/10/2003

THE ATTACHED PRINTOUT EXPLAINS DETECTED ERRORS.
PLEASE FORWARD THIS INFORMATION TO THE APPLICANT BY EITHER:

- 1) INCLUDING A COPY OF THIS PRINTOUT IN YOUR NEXT COMMUNICATION TO THE APPLICANT, WITH A NOTICE TO COMPLY or,
- 2) TELEPHONING APPLICANT AND FAXING A COPY OF THIS PRINTOUT, WITH A NOTICE TO COMPLY

FOR CRF SUBMISSION QUESTIONS, PLEASE CONTACT MARK SPENCER, 703-308-4212.

FOR SEQUENCE RULES INTERPRETATION, PLEASE CONTACT ROBERT WAX, 703-308-4216.

PATENTIN ASSISTANCE: e-mail: robert.wax @ uspto.gov Telephone: 703-306-4119

TO REDUCE ERRORED SEQUENCE LISTINGS, PLEASE USE THE <u>CHECKER VERSION 4.0 PROGRAM</u>, ACCESSIBLE THROUGH THE U.S. PATENT AND TRADEMARK OFFICE WEBSITE. SEE BELOW FOR ADDRESS:

http://www.uspto.gov/web/offices/pac/checker

Applicants submitting genetic sequence information electronically on diskette or CD-Rom should be aware that there is a possibility that the disk/CD-Rom may have been affected by treatment given to all incoming mail. Please consider using alternate methods of submission for the disk/CD-Rom or replacement disk/CD-Rom.

Any reply including a sequence listing in electronic form should NOT be sent to the 20231 zip code address for the United States Patent and Trademark Office, and instead should be sent via the following to the indicated addresses:

- 1. EFS-Bio (http://www.uspto.gov/ebc/efs/downloads/documents.htm, EFS Submission User Manual ePAVE)
- U.S. Postal Service: U.S. Patent and Trademark Office, Box Sequence, P.O. Box 2327, Arlington, VA 22202
 EFFECTIVE MAY 1, 2003 (via USPS): Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450
- Hand Carry directly to:
 U.S. Patent and Trademark Office, Technology Center 1600, Reception Area, 7th Floor, Examiner Name, Sequence Information, Crystal Mall One, 1911 South Clark Street, Arlington, VA 22202
 - U.S. Patent and Trademark Office, Box Sequence, Customer Window, Lobby, Room 1B03, Crystal Plaza Two, 2011 South Clark Place, Arlington, VA 22202
- Federal Express, United Parcel Service, or other delivery service to: U.S. Patent and Trademark Office, Box Sequence, Room 1B03-Mailroom, Crystal Plaza Two, 2011 South Clark Place, Arlington, VA 22202

Revised 04/01/2003

ERROR DETECTED	SUGGESTED CORRECTION SERIAL NUMBER: 09/903, 806 B	
ATTN: NEW RULES CAS	es: Please disregard english "Alpha" headers, which yere inserted by Pto Softwar	E
IWrapped Nucleics Wrapped Aminos	The number text at the end of each line "wrapped" down to the next line. This may occur if your file was retrieved in a word processor after creating it. Please adjust your right margin to .3; this will prevent "wrapping."	
2Invalid Line Length	The rules require that a line not exceed 72 characters in length. This includes white spaces.	
3 / Misaligned Amino Numbering	The numbering under each 5th amino acid is misaligned. Do not use tab codes between numbers, it use space characters, instead.	
4Non-ASCII	The submitted file was not saved in ASCII(DOS) text, as required by the Sequence Rules. Please ensure your subsequent submission is saved in ASCII text.	
5Variable Length	Sequence(s) contain n's or Xaa's representing more than one residue. Per Sequence Rules, each n or Xaa can only represent a single residue. Please present the meximum number of each residue having variable length and indicate in the <220>-<223> section having variable length and indicate in the <220>-<223> section.	
6Patentin 2.0 "bug"	A "bug" in Patentin version 2.0 has caused the <220>-<223> section to be missing from amino acid sequences(s) Normally, Patentin would automatically generate this section from the previously coded nucleic acid sequence. Please manually copy the relevant <220>-<223> section to the subsequent amino acid sequence. This applies to the mandatory <220>-<223> sections for Artificial or Unions we sequences.	
7Skipped Sequences (OLD RULES)	Sequence(s)missing. If intentional, please insert the following lines for each skipped sequence: (2) INFORMATION FOR SEQ ID NO:X: (insert SEQ ID NO where "X" is shown) (i) SEQUENCE CHARACTERISTICS: (Do not insert any subheadings under this heading) (xi) SEQUENCE DESCRIPTION:SEQ ID NO:X: (insert SEQ ID NO where "X" is shown) This sequence is intentionally skipped	
	Please also adjust the "(ii) NUMBER OF SEQUENCES:" response to Include the skipped sequences.	
8Skipped Sequences (NEW RULES)	Sequence(s) missing. If Intentional, please insert the following lines for each skipped sequence. <110> sequence id number <400> sequence id number 000	
9Use of n'a or Xaa's (NEW RULES)	Use of n's and/or Xaa's have been detected in the Sequence Listing. Per 1.823 of Sequence Rules, use of <220>-<223> is MANDATORY if n's or Xaa's are present. In <220> to <223> section, please explain location of n & Xaa; and which residue n or Xaa represents.	•
10Invalid <213> Response	Per 1.823 of Sequence Roles, the only valid <213> responses are: Unknown, Artificial Sequence, or scientific name (Genus/species). <220>-<223> section is required when <213> response is Unknown or his Artificial Sequence	
11Use of <220>	Sequence(s) missing the <220> "Feature" and associated numeric identifiers and responses. Use of <220> to <223> is MANDATORY if <213> "Organism" response is "Artificial Sequence" or "Unknown." Please explain source of genetic material in <220> to <223> section. (See "Federal Register," 06/01/1998, Vol. 63, No. 104, pp. 29631-32) (Sec. 1.823 of Sequence Rules)	
12PatentIn 2.0 "bug"	Please do not use "Copy to Disk" function of Patentin version 2.0. This causes a corrupted file, resulting in missing mandatory numeric identifiers and responses (as indicated on raw sequence listing). Instead, please use "File Manager" or any other manual means to copy file to floppy disk.	
13Misuse of n	n can only be used to represent a single nucleotide in a nucleic acid sequence. N is not used to represent any value not specifically a nucleotide.	

AMC/MH - Biotechnology Systems Branch - 08/21/2001

1600

4/10/03

RAW SEQUENCE LISTING

file://C:\CRF4\Outhold\VsrI903806B.htm

PATENT APPLICATION: US/09/903,806B

DATE: 04/10/2003 TIME: 15:18:51

Input Set : A:\P1618P2C3.txt

Output Set: N:\CRF4\04102003\1903806B.raw

```
1 Patin Docket Preview delite
        7 <110> APPLICANT: Chen, Jian
                 Goddard, Audrey
        8
                 Gurney, Austin L.
                                                                              Does No Jomply
                 Hillan, Kenneth
       10
                 Pennica, Diane
                                                                          Corrected Diskette Needec
       11
                 Wood, William I.
                 Yuan, Jean
       15 <120> TITLE OF INVENTION: Secreted and Transmembrane Polypeptides and Nucleic
                 Acids Encoding the Same
       18 <130> FILE REFERENCE: P1618P2C3
       20 <140> CURRENT APPLICATION NUMBER: US 09/903,806B
       21 <141> CURRENT FILING DATE: 2001-07-11
       23 <150> PRIOR APPLICATION NUMBER: US 09/665,350
       24 <151> PRIOR FILING DATE: 2000-09-18
       26 <150> PRIOR APPLICATION NUMBER: PCT/US00/04414
                                                                                  Does Not Comply
       27 <151> PRIOR FILING DATE: 2000-02-22
                                                                             Corrected Diskette Needed
       29 <150> PRIOR APPLICATION NUMBER: PCT/US98/18824
       30 <151> PRIOR FILING DATE: 1998-09-10
       32 <150> PRIOR APPLICATION NUMBER: US 60/062,287
       33 <151> PRIOR FILING DATE: 1997-10-17
       35 <160> NUMBER OF SEQ ID NOS: 424
 ERRORED SEQUENCES
       15186 <210> SEQ ID NO: 424
       15187 <211> LENGTH: 17
       15188 <212> TYPE: PRT
       15189 <213> ORGANISM: Artificial sequence
       15191 <220> FEATURE:
       15192 <223> OTHER INFORMATION: Artificial polypeptide
       15194 <220> FEATURE:

15195 <221> NAME/KEY: Artificial Sequence

15196 <222> LOCATION: 1, 4, 6, 8, 10, 12, 14, 16

15197 <223> OTHER INFORMATION: Artificial Sequence

15199 <220> FEATURE:

15200 <221> NAME/KEY: unsure

This does not explain what Xaa's
       15194 <220> FEATURE:
 W--> 15195 <221> NAME/KEY: Artificial Sequence
                                                          = > Each location shows
       15200 <221> NAME/KEY: unsure
       15201 <222> LOCATION: 9, 11, 13, 15, 17
       15202 <223> OTHER INFORMATION: WINKNOWN amino acid? a clearly indicated amino acid
       15204 <400> SEQUENCE: 424
 E--> 15205 Xaa Asn Cys Xaa Cys Xaa Cys Xaa Cys Xaa Cys Xaa Gly Xaa 15206 1 10/0 T
If the " represents a gap,
Le anire aux following the"."
                                                                musabjed amino acid nos. - see
item 3 on Euro Summony Sheet
eed to be in a new seguere (new SED ID NO.)
```

RAW SEQUENCE LISTING

PATENT APPLICATION: US/09/903,806B

DATE: 04/10/2003 TIME: 15:18:52

Input Set : A:\P1618P2C3.txt

Output Set: N:\CRF4\04102003\1903806B.raw

E--> 15208 Cys Xaa Asn

15

arı

Use of resulting and has been detected in the Sequence Listing.

Review the Sequence Listing to insure a corresponding explanation is presuper detailed at the <223> fields of each soon a second of the control of the

MI

Use of n and or Naa has been detected in the Sequence Listing. Review the Sequence Listing to insure a corresponding explanation is presented in the <220> to <223> fields of each sequence using near Nat.

VERIFICATION SUMMARY

DATE: .04/10/2003

PATENT APPLICATION: US/09/903,806B.

TIME: 15:18:53

Input Set : A:\P1618P2C3.txt

Output Set: N:\CRF4\04102003\1903806B.zaw

L:1 M:259 W: Allowed number of lines exceeded, (1) GENERAL INFORMATION:
L:585 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:13 after pos.:0

M:341 Repeated in SeqNo=13 .

L:902 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:26 after pos.:0

L:2087 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:50 after pos.:50

L:4499 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:113 after pos.:1450

L:5070 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:131 after pos.:1800

L:6720 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:174 after pos.:1650

L:6896 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:175 after pos.:525

L:8258 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:206 after pos.:950

M:341 Repeated in SeqNo=206

L:15195 M:257 W: Feature value mis-spelled or invalid, <221> Name/Key for SEQ ID#:424

L:15205 M:333 E: Wrong sequence grouping, Amino acids not in groups!

L:15205 M:333 E: (2) Invalid Amino Acid Designator, NUMBER OF INVALID KEYS:1

M:341 Repeated in SeqNo=424

L:15208 M:252 E: No. of Seq. differs, <211> LENGTH:Input:17 Found:18 SEQ:424